

Application Form Guide - Corporate

Supporting Document Checklist

- ☐ **Latest Memorandum and Articles of Association or Constitution**
- ☐ **Certificate of Incorporation of Company** (Form 9 or Equivalent)
- ☐ **Certificate of Incorporation in Change of Name of Company** (Form 13 or Section 28 or Equivalent)
- ☐ **Latest Notice of Registered Office** (Form 44 or Section 46 or Equivalent)
- ☐ **Latest Particulars of Directors, Managers and Secretaries** (Form 49 or Section 58 or Equivalent)
- ☐ **Document Showing Latest Paid-Up Capital** (Form 24 or Section 78 or Equivalent)
- ☐ **Latest Annual Return or Section 68 or Equivalent**
- ☐ **Board Resolution, or Extract Resolution, or Authorised Signatories List approved by the Board of Directors** (to confirm Authorised Persons that will represent the corporate customer)
- ☐ **Photocopy of NRIC or Passport of Shareholders or Partners with Equity Interest above 25%** (where shareholders or partners are a Corporate Entity, please provide a copy of the Company's Form of Annual Returns)
- ☐ **Proof of Corporate Sophisticated Investor Category** (e.g. Latest Audited Financials showing net assets above RM 10 million, or other, please see last page of this document for categories)

Certified True Copies

Person(s) that can certify include: Commissioner for Oaths, Notary Public, Advocate and Solicitor, and Managers or higher at financial firms. The witness **must** include the below details:

- "Certified True Copy of the Original"
- "I confirm this is a true copy of the original document presented to me."
- Signature, full name, designation, and contact details of the witness.

Witnessed True Copies

Person(s) that can witness include: Financial Advisors and those who can certify true copies (as above). The witness **must** include the below details:

- "Witnessed before me, [Name], [Designation], on [Date]."
- Signature, full name, designation, and contact details of the witness.

Completion Checklist

- ☐ **Completed Application Form** [Part 1 - Part 6]
- ☐ **Completed and Duly Signed Suitability Assessment** [Part 7]
- ☐ **Completed and Duly Signed Declaration Section** [Part 8]
- ☐ **Completed and Duly Signed Personal Data Protection Notice** [Part 9]

Additional Supporting Documents

- ☐ **Written Approval by Bank Negara Malaysia (BNM) to Exceed Annual Limits in Foreign Currency Asset (FCA)**
 - For Applicants with domestic ringgit borrowing who are exceeding their annual limits in FCA investment
 - Written approval is required for Malaysian residents who wish to invest in FCA exceeding their annual limit set under the Foreign Exchange Policy (FEP) set by BNM that governs foreign exchange transactions involving residents and non-residents of Malaysia
 - More details can be found here: <https://www.bnm.gov.my/fep>
- ☐ **Translations of Supporting Documents to English**
 - For Applicants who provide any supporting document not written or printed in English, you must also provide its English translation that is admissible as evidence in a Malaysian court of law
 - The translation must be certified by the Malaysian Translators Association or the Malaysian Institute of Translation and Books

Suitability Assessment Score Ranges [Part 7]

The table below shows the products offered by Cross Light Capital and the corresponding ranges of Suitability Assessment questionnaire scores in **Part 7** that Cross Light Capital deems suitable. As this is a broad questionnaire, you are advised to consider personal circumstances, exercise judgment, and seek professional advice regardless of whether or not your score falls under the suitable range.

Accredited Investors may choose to opt out of the Suitability Assessment.

Product (Abbreviation)	Investor Risk Tolerance	Suitability Assessment Questionnaire Score			
		26 or lower	27 – 40	41 – 69	70 or higher
Performa Core Growth and Income Fund (PCGIF)	Moderate	Not Suitable	Suitable	Suitable	Suitable
Performa Private Debt AUD Income Fund (PPDAIF)	Moderate	Not Suitable	Not Suitable	Suitable	Suitable
Performa Balanced Cross-Asset Fund (PBCAF)	Fairly Aggressive	Not Suitable	Not Suitable	Suitable	Suitable
Performa Digital Asset Fund (PDAF)	Aggressive	Not Suitable	Not Suitable	Not Suitable	Suitable

Sophisticated Investor Definitions [Part 7-8]

Please note that Cross Light Capital can only accept Sophisticated Investors as investors into its Wholesale Unit Trust Fund products. Please refer to the qualifying criteria and definitions of the different categories of Sophisticated Investors in the table below.

Category	Qualifying Criterion	Code
High Net Worth Entity (HNWE)	A corporation with total net assets exceeding ten million ringgit or its equivalent in foreign currencies based on the last audited accounts	HNWE1
	A corporation that is carrying on the regulated activity of fund management solely for the benefit of its related corporations and has assets under its management exceeding ten million ringgit or its equivalent in foreign currencies.	HNWE2
	A partnership with total net assets exceeding ten million ringgit or its equivalent in foreign currencies.	HNWE3
	A corporation that is a public company under the Companies Act 2016 which is approved by the SC to be a trustee under the Act and has assets under its management, exceeding ten million ringgit or its equivalent in foreign currencies.	HNWE4
	A company that is registered as a trust company under the Trust Companies Act 1949 and has assets under its management exceeding ten million ringgit or its equivalent in foreign currencies	HNWE5
	A statutory body established under any law whose function or mandate is investment in capital market products.	HNWE6
	A pension fund approved by the Director General of Inland Revenue under the Income Tax Act 1967	HNWE7
Accredited Investor (AI)	<ol style="list-style-type: none"> 1. A unit trust scheme, private retirement scheme or prescribed investment scheme. 2. Bank Negara 3. A licensed person or a registered person. 4. An exchange holding company, a stock exchange, a derivatives exchange, an approved clearing house, a central depository, or a recognised market operator. 5. A corporation that is licensed, registered, or approved to carry on any regulated activity or capital market services by an authority in Labuan or outside Malaysia which exercises functions corresponding to the functions of the Securities Commission Malaysia ("SC"). 6. A bank licensee or an insurance licensee as defined under the Labuan Financial Services and Securities Act 2010 [Act 704]. 7. An Islamic bank licensee or a takaful licensee as defined under the Labuan Islamic Financial Services and Securities Act 2010 [Act 705]. 8. A closed-end fund approved by the SC. 	AI8
High Liquidity Investor (HLI)	A corporate who acquires securities pursuant to an offer, as principal, if the aggregate consideration for the acquisition is not less than RM250,000 or its equivalent in foreign currencies for each acquisition.	HLI