



Application Form Completion Guide

Follow this guide when completing the Application Form.

Part A of Application Form

Section	Question	Note
Applicant undertaking	1	1 If any applicant ticked “Disagree”, please stop the application and do not proceed further.
Specified Persons	2	1 A “ Specified Person ” means any of the following: (a) The State of Israel or its governmental organisation, authority or agency. (b) A natural person who is a citizen or permanent resident of the State of Israel. (c) Any person incorporated, established, or registered for purposes of incorporation or establishment, in the State of Israel or under its laws, including such person’s direct subsidiary or branch outside the State of Israel. (d) Any unincorporated person which is formed in the State of Israel or under its laws or policy.
Specified Persons	2	2 If any applicant ticked “Yes”, please stop the application and do not proceed further. CLC does not accept Specified Persons as investors.
FATCA self-certification	3	1 FATCA means the Foreign Account Tax Compliance Act of the U.S.
FATCA self-certification	3	2 If any applicant ticked “1”, please stop the application and do not proceed further. CLC does not accept U.S. tax residents as investors.
CRS self-certification	4	1 CRS means the Common Reporting Standard of the Organisation for Economic Co-operation and Development (OECD).
CRS self-certification	4	2 TIN means Taxpayer Identification Number .
CRS self-certification	4	3 A jurisdiction can have more than one recognized TIN type. For example, Malaysia has two recognized TIN types: (a) Income Tax Number (ITN) or “Nombor Cukai Pendapatan” in Malay (b) National Registration Identity Card Number (NRIC/IC Number)
CRS self-certification	4	4 CLC is legally required to report Investor Accounts held by tax residents of countries and/or territories outside Malaysia to the Inland Revenue Board of Malaysia (IRBM). IRBM will then report the accounts to all countries and/or territories outside Malaysia declared by all owners, including joint accounts where some owners are not tax residents of some of the countries and/or territories declared. If you are considering a joint account and wish to prevent irrelevant parties from receiving, storing, and potentially misusing, your tax and account information, please change your account ownership structure.

Section	Question	Note	
Foreign exchange control self-certification	5	1	<ul style="list-style-type: none"> ● Tick “Resident Individual” if you are any of the following: <ul style="list-style-type: none"> a) A Malaysian citizen who is not a permanent resident of another country or territory outside Malaysia, regardless of your Malaysian tax residency status. b) A Malaysian citizen who is a permanent resident of another country or territory outside Malaysia but your physical presence in Malaysia makes you a Malaysian tax resident. c) A non-citizen of Malaysia who is a Malaysian permanent resident and your physical presence in Malaysia makes you a Malaysian tax resident. ● Tick “Non-Resident Individual” if none of the above applies to you.
Foreign exchange control self-certification	6	1	This question only applies to a Resident Individual for foreign exchange control purposes. If this applies to you, tick “Yes” or “No”; otherwise, tick “Not applicable”.
Foreign exchange control self-certification	6	2	<p>A Resident Entity for foreign exchange control purposes means any of the following:</p> <ul style="list-style-type: none"> (a) A body corporate incorporated or established, or registered with, or approved by, any authority in Malaysia. (b) An unincorporated body registered with, or approved by, any authority in Malaysia. (c) The Federal Government, or any State Government, of Malaysia.

Section	Question	Note
Foreign exchange control self-certification	6	<p>3</p> <p>Borrowing means any of the following:</p> <ul style="list-style-type: none"> (a) Any utilized or unutilized credit facility or financing facility. (b) Any utilized or unutilized trade financing facility, including but not limited to, trade guarantee or guarantee for payment of goods. (c) Redeemable preference share or Islamic redeemable preference share. (d) Corporate Bond or Sukuk. (e) A credit limit that a Licensed Onshore Bank apportions for its client to undertake a Forward Basis transaction that involves <ul style="list-style-type: none"> (i) exchanging or swapping of Ringgit or Foreign Currency debt for another Foreign Currency debt; or (ii) exchanging of Foreign Currency debt for a Ringgit debt. <p>Notwithstanding the above, the following are excluded from the definition of Borrowing:</p> <ul style="list-style-type: none"> (a) A trade credit term extended by a supplier for any goods or services. (b) A credit limit that a Licensed Onshore Bank apportions for its client to undertake a Forward Basis transaction that does not involve <ul style="list-style-type: none"> (i) exchanging or swapping of Ringgit or Foreign Currency debt for another Foreign Currency debt; or (ii) exchanging of Foreign Currency debt for a Ringgit debt. (c) A Financial Guarantee or Non-Financial Guarantee. (d) An operational leasing facility. (e) A factoring facility without recourse. (f) A credit card or charge card facility obtained by a Resident Individual from a Resident Individual/Entity and used for payment for retail goods or services only. (g) A credit facility or financing facility obtained by a Resident Individual from a Resident Individual/Entity to purchase one (1) residential property and one (1) vehicle.
Foreign exchange control self-certification	6	<p>4</p> <p>For Resident Individuals, Domestic Ringgit Borrowing means any of the following:</p> <ul style="list-style-type: none"> (a) Any Borrowing in Ringgit obtained by a Resident Individual from another Resident Individual/Entity. (b) Any obligation considered or deemed as Domestic Ringgit Borrowing under any of the Foreign Exchange Policy Notices issued by Bank Negara Malaysia.
Foreign exchange control self-certification	6	<p>5</p> <p>If a Resident Individual only has some or all of the following, then he/she does not have Domestic Ringgit Borrowing:</p> <ul style="list-style-type: none"> (a) A credit card or charge card facility obtained from a Resident Individual/Entity and used to pay for retail goods or services only. (b) A housing loan obtained from a Resident Individual/Entity. (c) A vehicle loan obtained from a Resident Individual/Entity.

Section	Question	Note
Foreign exchange control self-certification	7	1 This question only applies to a Resident Individual with Domestic Ringgit Borrowing. If this applies to you, tick "A" or "B" or both; otherwise, tick "Not applicable".
Foreign exchange control self-certification	7	2 A " Restricted Currency " means any of the following: (a) Currency note or coin which is legal tender in the State of Israel. (b) Any right to receive currency note or coin mentioned in subparagraph (a) above (i) in respect of any credit or balance at a Licensed Onshore Bank or any other similar institution in or outside Malaysia; or (ii) from any person in or outside Malaysia. (c) Any document, instrument or device which enables a person to obtain currency note or coin mentioned in subparagraph (a) above from another person, including but not limited to traveller's cheque, letter of credit and bank draft. (d) Any electronic or digital representation of currency note or coin mentioned in subparagraph (a) above.
Foreign exchange control self-certification	8	1 This question only applies to a Resident Individual with Domestic Ringgit Borrowing who will partially or fully pay for his/her subscription using the type-B source of funds (funds kept inside Malaysia and denominated in one or more currencies, including MYR). If this applies to you, tick "Yes" or "No"; otherwise, tick "Not applicable".
Foreign exchange control self-certification	8	2 A type-B subscription means the portion of a subscription funded by the type-B source of funds (funds kept inside Malaysia and denominated in one or more currencies, including MYR).
Foreign exchange control self-certification	8	3 A type-B aggregate investment in Foreign Currency Asset per calendar year means the portion of an aggregate investment in Foreign Currency Asset per calendar year funded by the type-B source of funds (funds kept inside Malaysia and denominated in one or more currencies, including MYR).
Foreign exchange control self-certification	8	4 For a Resident Individual with Domestic Ringgit Borrowing, the applicable regulatory limit for the type-B aggregate investment in Foreign Currency Asset per calendar year is RM1 million or its equivalent in other currencies.
Foreign exchange control self-certification	9	1 This question only applies to a Resident Individual with Domestic Ringgit Borrowing whose type-B subscription will cause his/her type-B aggregate investment in Foreign Currency Asset per calendar year to exceed its regulatory limit. If this applies to you, tick "Yes" or "No"; otherwise, tick "Not applicable".
Foreign exchange control self-certification	10	1 If any applicant ticked "5" and his/her only source of funds is of type B, please stop the application and do not proceed further. CLC cannot facilitate an illegal breach of the regulatory limit for the type-B aggregate investment in Foreign Currency Asset per calendar year.

Section	Question	Note
Foreign exchange control self-certification	10	2 Table 1 below shows the eligibility to invest for the case of a single applicant.
Foreign exchange control self-certification	10	3 Table 2 below shows the eligibility to invest for the case of two joint applicants.
Sophisticated Investor self-certification	11	1 CMSA means the <i>Capital Markets and Services Act 2007</i> of Malaysia.
Sophisticated Investor self-certification	11	2 SC means Securities Commission Malaysia.
Sophisticated Investor self-certification	11	3 Table 3 below shows the qualifying criteria for Sophisticated Investors.
Sophisticated Investor self-certification	11	4 A Retail Investor means any person who is not a Sophisticated Investor.
Sophisticated Investor self-certification	11	5 Applicant 1 must be a Sophisticated Investor. If Applicant 1 ticked "No", please stop the application and do not proceed further. CLC is licensed by the SC to accept principal applicants who are Sophisticated Investors only.
Sophisticated Investor self-certification	11	6 Applicant 2 can be a Sophisticated Investor or a Retail Investor, so can tick "Yes" or "No".
Sophisticated Investor self-certification	12	1 Table 3 below shows the definitions of the different categories of Sophisticated Investors.
Sophisticated Investor self-certification	12	2 If Applicant 2 is a Retail Investor, tick "Not applicable" only.
Sophisticated Investor self-certification	13	1 FIMM means the Federation of Investment Managers Malaysia.

Section	Question	Note
Sophisticated Investor self-certification	13	2 This question only applies to a Sophisticated Investor of category A13.
Sophisticated Investor self-certification	14	1 This question only applies to a Sophisticated Investor of category A18.
Ownership	16	1 A Legal Owner means an individual satisfying all of the following: 1. His/her name appears on the Investor Account and the register of Unit Holders of a Fund. 2. He/she is an adult (at least 18 years old on the last birthday). 3. He/she is a Sophisticated Investor. 4. He/she has control over the Investor Account and the Units in his/her name, i.e., he/she is an authorized signatory. 5. He/she has no right to enjoy the benefits of Unit ownership.
Ownership	16	2 A Beneficial Owner means an individual satisfying all of the following: 1. His/her name appears on the Investor Account and the register of Unit Holders of a Fund. 2. He/she is an adult or a minor (below 18 years old on the last birthday). 3. He/she is a Sophisticated Investor or a Retail Investor. 4. He/she has no control over the Investor Account and the Units in his/her name, i.e., he/she is not an authorized signatory. 5. He/she has the right to enjoy the benefits of Unit ownership.
Ownership	16	3 A Legal and Beneficial Owner means an individual satisfying all of the following: 1. His/her name appears on the Investor Account and the register of Unit Holders of a Fund. 2. He/she is an adult. 3. He/she is a Sophisticated Investor. 4. He/she has control over the Investor Account and the Units in his/her name, i.e., he/she is an authorized signatory. 5. He/she has the right to enjoy the benefits of Unit ownership.
Ownership	16	4 There must be at least (a) one Legal Owner and one Beneficial Owner; or (b) one Legal and Beneficial Owner.
Ownership	16	5 In the case of a single applicant, Applicant 1 must be the Legal and Beneficial Owner.

Section	Question	Note																	
Ownership	16	6 <ul style="list-style-type: none"> In the case of two applicants: <ul style="list-style-type: none"> ● Applicant 1 must be one of the following: <ul style="list-style-type: none"> a) A Legal Owner. b) A Legal and Beneficial Owner. ● If Applicant 1 is a Legal Owner, then Applicant 2 can be one of the following: <ul style="list-style-type: none"> a) A Beneficial Owner. b) A Legal and Beneficial Owner. ● If Applicant 1 is a Legal and Beneficial Owner, then Applicant 2 can be one of the following: <ul style="list-style-type: none"> a) A Legal Owner. b) A Beneficial Owner. c) A Legal and Beneficial Owner. ● If Applicant 2 is a minor or a Retail Investor, then he/she must be a Beneficial Owner. 																	
Signing mandate	17	1 An authorized signatory must be a Legal Owner or a Legal and Beneficial Owner; therefore, the signing mandate depends on the ownership structure of the Investor Account set in question 16.																	
Signing mandate	17	2 In the case of a single applicant, the only option is “Only Applicant 1 can sign”.																	
Signing mandate	17	3 In the case of two joint applicants: the possible options are set out in the table below: <table border="1" data-bbox="518 1064 1460 1400"> <thead> <tr> <th rowspan="2">Applicant 1</th> <th colspan="2">Legal Owner</th> <th colspan="3">Legal and Beneficial Owner</th> </tr> <tr> <th>Beneficial Owner</th> <th>Legal and Beneficial Owner</th> <th>Legal Owner</th> <th>Beneficial Owner</th> <th>Legal and Beneficial Owner</th> </tr> </thead> <tbody> <tr> <td>Possible options</td> <td>“Only Applicant 1 can sign”</td> <td>“Either Applicant can sign” and “All Applicants must sign”</td> <td>“Either Applicant can sign” and “All Applicants must sign”</td> <td>“Only Applicant 1 can sign”</td> <td>“Either Applicant can sign” and “All Applicants must sign”</td> </tr> </tbody> </table>	Applicant 1	Legal Owner		Legal and Beneficial Owner			Beneficial Owner	Legal and Beneficial Owner	Legal Owner	Beneficial Owner	Legal and Beneficial Owner	Possible options	“Only Applicant 1 can sign”	“Either Applicant can sign” and “All Applicants must sign”	“Either Applicant can sign” and “All Applicants must sign”	“Only Applicant 1 can sign”	“Either Applicant can sign” and “All Applicants must sign”
Applicant 1	Legal Owner			Legal and Beneficial Owner															
	Beneficial Owner	Legal and Beneficial Owner	Legal Owner	Beneficial Owner	Legal and Beneficial Owner														
Possible options	“Only Applicant 1 can sign”	“Either Applicant can sign” and “All Applicants must sign”	“Either Applicant can sign” and “All Applicants must sign”	“Only Applicant 1 can sign”	“Either Applicant can sign” and “All Applicants must sign”														
Investor servicing option	18	1 A financial planner means a Corporate Unit Trust Adviser (CUTA) .																	
Investor servicing option	18	2 An MR means a Marketing Representative of CLC who is registered with the SC .																	
Identification	27	1 Write in the format <i>name 1 in language 1 (language 1 in English), name 2 in language 2 (language 2 in English), ...</i> Example: 李光耀 (Simplified Chinese), Harry Lee (English)																	
Contact information	39	1 You must provide an e-mail address. CLC will send all documents, such as account statements and fund performance reports, to you by e-mail only. CLC does not send documents to you by mail.																	
Financial means	45	1 The data you provide should be consistent with your Sophisticated Investor category in question 12.																	
Financial means	46	1 The data you provide should be consistent with your Sophisticated Investor category in question 12.																	

Section	Question	Note
Financial means	47	1 If your Sophisticated Investor category in question 12 is HNWI2 or HNWI3, the data you provide should be consistent with your Sophisticated Investor category.
Financial means	48	1 If your Sophisticated Investor category in question 12 is HNWI, the data you provide should be consistent with your Sophisticated Investor category.
Financial means	49	1 If your Sophisticated Investor category in question 12 is HNWI4, the data you provide should be consistent with your Sophisticated Investor category.
Investment purpose(s) and funding means	51	1 The data you provide should be consistent with the data you provide for questions 45 to 49.
Employment or self-employment details	52	1 For guidance, refer to the latest Malaysia Standard Classification of Occupations (MASCO) at https://www.mohr.gov.my/index.php/en/resource/publication-downloadable-forms .
Risk profiling	62	1 A Politically-Exposed Person or PEP means any of the following: <ul style="list-style-type: none"> (a) Foreign PEP: a natural person who is, or has been, entrusted with any prominent public function by a country or territory outside Malaysia. For example, Head of State or of government; senior politician; senior government, judicial or military official; senior executive of state-owned corporation; and important political party official. (b) Domestic PEP: a natural person who is, or has been, entrusted with any prominent public function within Malaysia. For example, Head of State or of government; senior politician; senior government, judicial or military official; senior executive of state-owned corporation; and important political party official. (c) International Organisation PEP: A natural person who is, or has been, entrusted with any prominent function by an international organisation. This refers to a member of senior management: director, deputy director, member of the board or equivalent function. <p>The definition of PEP is not intended to cover middle-ranking or more junior natural persons in the foregoing categories.</p>
Risk profiling	62	2 Family Member of a PEP means a natural person who is related to a PEP directly (consanguinity), by formal or informal adoption, or by marriage. This includes the PEP's: <ul style="list-style-type: none"> (a) biological and/or non-biological parents; (b) biological and/or non-biological siblings; (c) spouses; (d) biological and/or non-biological children; or (e) spouses' biological and/or non-biological parents.

Section	Question	Note	
Risk profiling	62	3	<p>A Close Associate of a PEP means a natural person who is closely connected to a PEP, either socially or professionally. This may include</p> <ul style="list-style-type: none"> (a) the PEP's non-marital sexual partners, such as girlfriends, boyfriends, mistresses and gigolos; (b) the PEP's business partners or associates; (c) the PEP's biological and/or non-biological extended family members; (d) the PEP's close friends; (e) natural persons who are financially dependent on the PEP, such as drivers, bodyguards and secretaries; (f) natural persons who work close with the PEP, such as work colleagues; and (g) prominent members of the same organisation as the PEP.
Pre-registration of bank account(s) for sending subscription payments and receiving redemption proceeds	72	1	<p>Use https://www.theswiftcodes.com/ to check that what you filled in for the following are correct:</p> <ol style="list-style-type: none"> 1. Formal bank name as per business registration 2. Bank SWIFT Code

Table 1: Eligibility to invest for a single applicant.

Foreign exchange control classification of Applicant 1	Source of funds	Eligibility to invest
1	A	Can invest in Foreign Currency Asset up to any amount.
	B	
2	A	Can invest in Foreign Currency Asset up to any amount.
	B	
3	A	Can invest in Foreign Currency Asset up to any amount.
	B	Can invest in Foreign Currency Asset up to RM1 million or its equivalent in other currencies.
4	A	Can invest in Foreign Currency Asset up to any amount.
	B	Can invest in Foreign Currency Asset up to the exceptional limit approved by Bank Negara Malaysia.
5	A	Can invest in Foreign Currency Asset up to any amount.
	B	Not eligible to invest.

Table 2: Eligibility to invest for two joint applicants.

		Foreign exchange control classification of Applicant 2										
		1		2		3		4		5		
		Source of funds										
		A	B	A	B	A	B	A	B	A	B	
Foreign exchange control classification of Applicant 1	1	A										
		B										
	2	A										
		B										
	3	A										
		B										
	4	A										
		B										
	5	A										
		B										
Colour code												
	Can invest in Foreign Currency Asset up to any amount.											
	Can invest in Foreign Currency Asset up to RM2 million or its equivalent in other currencies.											
	Can invest in Foreign Currency Asset up to twice the exceptional limit approved by Bank Negara Malaysia for Applicant 1.											
	Can invest in Foreign Currency Asset up to twice the exceptional limit approved by Bank Negara Malaysia for Applicant 2.											
	Can invest in Foreign Currency Asset up to (a) twice the exceptional limit approved by Bank Negara Malaysia for Applicant 1 or 2, whichever is lower; or (b) the joint exceptional limit approved by Bank Negara Malaysia for Applicants 1 and 2.											
	Not eligible to invest.											

Table 3: Qualifying criteria for, and definitions of the different categories of, Sophisticated Investors.

Category	Qualifying criterion	Category code
High-Net-Worth Individual (HNWI)	An individual whose total net personal assets exceeding three million ringgit or its equivalent in foreign currencies, provided that the net value of the primary residence of the individual contribute not more than one million ringgit of the total net assets	HNWI1
	An individual whose total net joint assets with his or her spouse or his or her child, exceeding three million ringgit or its equivalent in foreign currencies, provided that the net value of the primary residence of the individual with his or her spouse or child contribute not more than one million ringgit of the total net assets	HNWI2
	An individual who has a gross annual income exceeding RM300,000 or its equivalent in foreign currencies in the preceding 12 months.	HNWI3
	An individual who, jointly with his or her spouse, has a gross annual income exceeding RM400,000 or its equivalent in foreign currencies in the preceding 12 months.	HNWI4
	An individual whose total net personal investment portfolio, or total net joint investment portfolio with his or her spouse, in any capital market products, exceeds RM1 million or its equivalent in foreign currencies.	HNWI5
	An individual who holds any of the following qualifications and has five consecutive years of relevant working experience in finance, economics, actuarial science or accounting— (A) holds a Bachelor’s or Master’s degree related to Finance, Economics or Actuarial Science; (B) holds a Bachelor’s or Master’s degree in Accounting; or (C) holds a Master of Business Administration;	HNWI6
	An individual who holds who holds the following membership in the associations as set out below: (A) Active Member of Chartered Financial Analyst (CFA) Institute; (B)Chartered Banker of Asian Institute of Chartered Bankers (AICB); (C) Ordinary Member of Financial Markets Association Malaysia (FMAM); (D) Chartered Accountant, C.A(M) of Malaysian Institute of Accountants (MIA); (E) Ordinary Member of Malaysia Association of Tax Accountants (MATA); (F) Accredited Angel Investor of Malaysian Business Angel Network (MBAN); (G) Certified Member of Financial Planning Association of Malaysia (FPAM); or (H) Ordinary Member of Malaysian Financial Planning Council (MFPC);	HNWI7
	An individual who has five consecutive years of working experience in a capital market intermediary relating to product development, corporate finance, deal advisory, investment management, sales and trading, investment research and advisory, financial analysis, or the provision of training in investment products.	HNWI8
Accredited Investor (AI)	A licensed person or a registered person as defined under the CMSA.	AI3
	A chief executive officer or a director of any of the following:	AI8

Category	Qualifying criterion	Category code
	<ol style="list-style-type: none"> 1. A licensed person or a registered person as defined under the CMSA. 2. An exchange holding company, a stock exchange, a derivatives exchange, an approved clearing house, a central depository or a recognized market operator. 3. A corporation that is licensed, registered or approved to carry on any regulated activity or capital market services by an authority in Labuan or outside Malaysia which exercises functions corresponding to the functions of the SC. 4. A bank licensee or an insurance licensee as defined under the <i>Labuan Financial Services and Securities Act 2010</i>. 5. An Islamic bank licensee or a takaful licensee as defined under the <i>Labuan Islamic Financial Services and Securities Act 2010</i>. 	

Part C of Application Form

The table below shows (a) the products offered by CLC and (b) the product(s) that CLC deems to be suitable for the questionnaire score obtained by an authorized signatory in Section C.2.

Product	Investor risk tolerance	Questionnaire score			
		26 or lower	27 – 40	41 – 69	70 or higher
Performa Core Growth and Income Fund	Moderate	Not suitable	Suitable	Suitable	Suitable
Performa Private Debt AUD Income Fund	Moderate	Not suitable	Not suitable	Suitable	Suitable
Performa Balanced Cross-Asset Fund	Fairly Aggressive	Not suitable	Not suitable	Suitable	Suitable
CLC Cross-Asset Strategic Alpha Fund	Aggressive	Not suitable	Not suitable	Not suitable	Suitable
Performa Digital Asset Fund	Aggressive	Not suitable	Not suitable	Not suitable	Suitable